

IFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
112857-459

In Re Application Of: **Masakazu Nakamura et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------|----------|--------------|----------------|------------------|
| 10/700,014      | 11/03/2003  |          | 29175        | 3621           | 3703             |

**Title: AN APPARATUS, SYSTEM AND METHOD FOR ELECTRONIC TICKET MANAGEMENT AND  
ELECTRONIC TICKET DISTRIBUTION AUTHENTICATION**

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(e))**

Docket No.  
112857-459

In Re Application: Masakazu Nakamura et al.

OCT 01 2004

Examiner

|                 |             |              |                |                  |
|-----------------|-------------|--------------|----------------|------------------|
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**AN APPARATUS, SYSTEM AND METHOD FOR ELECTRONIC TICKET MANAGEMENT AND  
ELECTRONIC TICKET DISTRIBUTION AUTHENTICATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

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Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 9/28/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

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\*This certificate may only be used if paying by deposit account.

Signature

Thomas C. Basso

Reg. No. 46,541

Bell, Boyd & Lloyd LLC

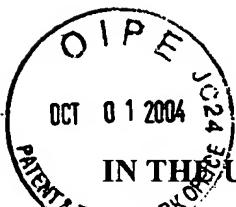
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Telephone: (312) 807-4310

CC:

Dated: September 28, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Masakazu Nakamura et al.

Appl. No.: 10/700,014

Conf. No.: 3703

Filed: November 3, 2003

Title: AN APPARATUS, SYSTEM AND METHOD FOR ELECTRONIC TICKET MANAGEMENT AND ELECTRONIC TICKET DISTRIBUTION AUTHENTICATION

Art Unit: 3621

Examiner:

Docket No.: 112857-459

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u>   |
|---------------------|-------------|-------------------|
| 6,173,209           | 01-2001     | Laval et al.      |
| 5,502,806           | 03-1996     | Mahoney et al.    |
| 6,192,349           | 02-2001     | Husemann et al.   |
| 5,239,480           | 08-1993     | Huegel, Douglas   |
| 6,216,227           | 04-2001     | Goldstein et al.  |
| 6,148,091           | 11-2000     | DiMaria, Peter C. |
| 5,598,477           | 01-1997     | Berson, William   |
| 5,721,781           | 02-1998     | Deo et al.        |
| 6,659,343           | 12-2003     | Tanaka, Katsuyuki |
| 6,067,532           | 05-2000     | Gebb, Lucas       |

|           |         |                       |
|-----------|---------|-----------------------|
| 6,532,345 | 03-2003 | Gluck, Adrian         |
| 3,988,570 | 10-1976 | Murphy et al.         |
| 4,139,764 | 02-1979 | Petrini et al.        |
| 5,930,761 | 07-1999 | O'Toole, Martin J.    |
| 6,422,463 | 07/2002 | Flink, Jonathan C.    |
| 5,754,654 | 05-1998 | Hiroya et al.         |
| 5,309,355 | 05-1994 | Lockwood, Lawrence B. |

**FOREIGN PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------|----------------|
| WO 97/18534 A1      | 05-1997     | WIPO           |
| EP 0969426 A1       | 05-2000     | EPO            |

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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BY

  
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Dated: September 28, 2004

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|--|--|--|--|---------------------------------------|-------------------------------|
| <b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br><small>(Use several sheets if necessary)</small> |  |  |  | Atty Docket No.<br>112857-459         | Application No.<br>10/700,014 |
| OCT 01 2004<br>PTO Form 1449   |  |  |  | Applicant<br>Masakazu Nakamura et al. |                               |
|  |  |  |  | Filing Date<br>11/03/2003             | Group                         |

**U.S. PATENT DOCUMENTS**

| Examiner's Initials | Document Number | Publication Date | Inventor              | Class | Subclass | Filing Date If Appropriate |
|---------------------|-----------------|------------------|-----------------------|-------|----------|----------------------------|
|                     | 6,173,209       | 01-2001          | Laval et al.          |       |          |                            |
|                     | 5,502,806       | 03-1996          | Mahoney et al.        |       |          |                            |
|                     | 6,192,349       | 02-2001          | Husemann et al.       |       |          |                            |
|                     | 5,239,480       | 08-1993          | Huegel, Douglas       |       |          |                            |
|                     | 6,216,227       | 04-2001          | Goldstein et al.      |       |          |                            |
|                     | 6,148,091       | 11-2000          | DiMaria, Peter C.     |       |          |                            |
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|                     | 5,721,781       | 02-1998          | Deo et al.            |       |          |                            |
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|                     | 6,532,345       | 03-2003          | Gluck, Adrian         |       |          |                            |
|                     | 3,988,570       | 10-1976          | Murphy et al.         |       |          |                            |
|                     | 4,139,764       | 02-1979          | Petrini et al.        |       |          |                            |
|                     | 5,930,761       | 07-1999          | O'Toole, Martin J.    |       |          |                            |
|                     | 6,422,463       | 07/2002          | Flink, Jonathan C.    |       |          |                            |
|                     | 5,754,654       | 05-1998          | Hiroya et al.         |       |          |                            |
|                     | 5,309,355       | 05-1994          | Lockwood, Lawrence B. |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner's Initials | Document Number | Publication Date | Country | Class | Subclass | Translation |    |
|---------------------|-----------------|------------------|---------|-------|----------|-------------|----|
|                     |                 |                  |         |       |          | Yes         | No |
|                     | WO 97/18534 A1  | 05-1997          | WIPO    |       |          |             |    |
|                     | EP 0969426 A1   | 05-2000          | EPO     |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|                     |  |
|---------------------|--|
| Examiner's Initials |  |
|                     |  |
|                     |  |

**Examiner:** \_\_\_\_\_ **Date Considered:** \_\_\_\_\_

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.